

Cabinet

15 JULY 2010

LEADER

Councillor Stephen Greenhalgh

CABINET MEMBER FOR CHILDREN'S SERVICES

Councillor Helen Binmore

CABINET MEMBER FOR COMMUNITY CARE

Councillor Joe Carlebach

3RD SECTOR INVESTMENT FUND ALLOCATION

**Wards
All**

This report presents recommendations for the allocation of the 3rd Sector Investment Fund from October 2010 for the areas of:

- * Infrastructure
- * Children, Families & Young People
- * Economic Wellbeing & Opportunity
- * Health & Wellbeing (older people)

Cabinet is asked to approve the funding allocation as set out in this report.

CONTRIBUTORS

DCS
DFCS
ADLDS

Recommendations:

1. That allocation of the 3rd Sector Investment Fund across the areas of:
 - Infrastructure
 - Children, Families & Young People
 - Economic Wellbeing & Opportunity
 - Health & Wellbeing (older people)as set out in this report be approved.
2. That, where there is a lack of fundable applications to deliver particular outcomes sought, authority be delegated to the appropriate departmental Director to retender or reallocate the remainder of the budget.

<p>HAS A PEIA BEEN COMPLETED? YES</p>
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1. INTRODUCTION

- 1.1 Cabinet, on 7 September 2009, agreed the 3rd Sector Strategy which sets out the Council's commitment to providing funding and premises support to the local 3rd sector.
- 1.2 Specifically, the report set out how the Council's main investment programme for the sector (The 3rd Sector Investment Fund), would be allocated.
- 1.3 The Council supports the 3rd sector specifically through the 3rd Sector Investment Fund (formerly known as the Main Programme VCS grants budget), although members should note that in addition to this, some 3rd sector organisations are contracted to provide services from other funding streams or Council budgets and that these amounts may be significant.
- 1.4 The 3rd Sector Strategy Investment Plan sets out:
 - Eligibility criteria for investment support
 - A focus on outcomes and evidencing benefits to residents
 - The proposed funding term
 - The return on our investment – expected broader activities and outcomes
- 1.5 To improve the efficiency of the application and allocation process, the 3rd Sector Strategy streamlined the service areas from thirteen (as tendered in 06-07) to eight:
 - Children, Families & Young People
 - Infrastructure
 - Economic Wellbeing & Opportunity
 - Health & Wellbeing (older people) (to be combined by 2013 with) Health & Wellbeing (adults)
 - Safer Communities
 - Housing & Homelessness support
 - Environment & Community Transport
 - Leisure & Recreation
- 1.6 The strategy sets out the Council's intention to competitively tender the 3rd Sector Investment Fund. In the past, historical funding agreements have led to stagnation in service delivery and design and lack of opportunity for new groups with innovative service ideas to secure funding support. There continues to exist in some quarters of the sector an expectation that funding from LBHF will be automatic; however, the process for applying and securing 3rd Sector Investment Funding means that this is no longer the case, and organisations will need to demonstrate how the services they propose will meet the outcomes as stated in the service specification. There is no guarantee of funding for groups which have been funded in the past.

2. CONTEXT

- 2.1 LBHF has a budget for the provision of support to the local 3rd sector. Traditionally, this budget had followed a historical funding pattern, until the VCS Funding Review in 2006-07 revised and updated the Council's intentions for this budget, clearly setting out criteria and desired outcomes of the fund for the funding round of October 07-March 09.
- 2.2 The ongoing allocation and management of this financial investment, including leading tendering processes, monitoring and evaluation and overall financial management of the budget, is undertaken by the Council's Community Investment Team.
- 2.3 The Council recognises that the 3rd sector plays a significant role in achieving the Council's borough of opportunity vision and aspirations; adding value to the cultural, social and economic quality of life for our residents; helping to shape social and economic regeneration and contributing to civic renewal.
- 2.4 The strategy sets out the process by which the resources will be allocated, including clarifying the rationale for preserving the budget, setting clear criteria for funding and the process by which the Council determines the services and outcomes for residents that the resources should deliver.
- 2.5 The Council's overarching aim is to develop an environment which enables the third sector to thrive, growing in its contribution to Hammersmith & Fulham's society, economy and environment.

3. THE LOCAL 3RD SECTOR

- 3.1 The 3rd sector encompasses voluntary and community organisations, charities, social enterprises, cooperatives and mutuals both large and small. It is a diverse, active and passionate sector, where organisations share common characteristics:
 - They are non-governmental
 - They are value-driven
 - They principally reinvest any financial surpluses to further social, environmental or cultural objectives.
- 3.2 Because of its diversity, it is not easy to define the sector. Organisations vary enormously in size, from small local groups staffed exclusively by volunteers, to large national charities that are household names with complex infrastructures and many hundreds of staff.
- 3.3 Over nine hundred 3rd sector representatives are on the Council's 3rd sector mailing list, with an estimated seven hundred and fifty + groups operating in Hammersmith & Fulham. Of these, around three hundred groups a year receive direct support from the Council through funding or premises.
- 3.4 The term "3rd sector" is the term now used, and is generally thought of as a more embracing term that encompasses the voluntary and community sector, but also

includes social enterprises (i.e. businesses that have primarily social objectives, and whose profits are reinvested in the business rather than distributed to shareholders), mutuals and cooperatives (membership-based organisations run on a democratic basis for the benefits of their members), and other non-profit organisations.

4. THE FINANCIAL CONTEXT

- 4.1 The 3rd Sector Investment Fund has increased from £4.18m in 2007-08 to £4.6m in 2010-11. Annual uplift on the budget is requested from corporate finance with the uplift secured allocated to funded groups – usually in line with cost of living increase awarded on LBHF salaries.
- 4.2 Over recent years, all Council budgets have been required to identify and deliver efficiencies, but to date, there has not been an expectation to find efficiencies through the 3SIF budget.
- 4.3 However, it is common knowledge that the current economic climate and future position of public funding will mean there will be economically difficult times ahead. The impact of this will need to be shared with the Council's external providers, including the 3rd sector.
- 4.4 LBHF is anticipating a reduction in local government funding – and this will have an impact on the level of the 3rd Sector Investment Fund. Although the level of funding from central government has not been confirmed, it is prudent to anticipate a reduction in our corporate budget, and to reflect this in the 3SIF budget in terms of funding awarded over the next three years.
- 4.5 Inflationary uplift will not be available to funded organisations in 2010-11 and 2011-12. After that term, availability of uplift is dependent on the Council's financial position. If uplift is available, organisations will be required to present a business case for any increase in their funding level. Funded organisations will be expected, as is the Council, to seek different ways of working and develop more effective systems that enable efficiencies to be achieved with minimal impact on front line services.
- 4.6 In 2009, the Leader of the Council (and Cabinet Member for Community Services) gave an indicative guide regarding the allocation of the 3rd Sector Investment Fund across each service area, which reflects the local priorities of the Council. This may be adjusted in the future as local priorities shift.

table 1

Infrastructure	11%
Children, Young People & Families	17%
Economic Wellbeing & Opportunity	20%
Health & Wellbeing (OP)	12%
Health & Wellbeing (adults)	6%
Safer Communities	8%
Leisure & Recreation	11%

Environment & Community Transport	3.5%
Housing & Homelessness prevention	4%
Coach vouchers	0.5%
Fast Track Small Grants	5%

5. SERVICE SPECIFICATIONS

5.1 As set out in the Cabinet Report of 7 September 2009, the service areas being retendered during 2009-10, for services commencing 1st October 2010, are:

- Infrastructure
- Children, Families & Young People
- Economic Wellbeing & Opportunity
- Health & Wellbeing (older people)

5.2 The draft service specifications were circulated to the sector for comment as part of a consultation exercise in early 2009. A number of workshops were held on each service specification to give 3rd sector organisations the opportunity to shape and inform the specifications and the outcomes proposed.

5.3 The final service specifications were agreed by the Director of Community Services and Director of Children's Services (as per the Cabinet report 7 September 2009) at the end of September 2009.

5.4 Each specification sets out the outcomes that the Council wishes to achieve through the 3rd Sector Investment Fund (see appendices 5a – 5d).

5.5 Following the launch of the 3rd Sector Investment Fund, further briefings were held with the sector on each of the specifications, in order to ensure that organisations understood the outcomes being sought.

5.6 The briefings also introduced the revised application form for the 3rd Sector Investment Fund, outlining the sort of information that was needed in each section.

6. THE APPLICATION AND ASSESSMENT PROCESS

6.1 The 3rd Sector Investment Fund was launched the week commencing 21 September 2009. Organisations were required to submit their application by 5pm, Monday 30 October 2009.

6.2 13 groups reported difficulties with the electronic application form. All were minor issues and resolved speedily. All organisations which requested support from the Community Investment Team regarding technical difficulties were able to complete and submit their application by the deadline.

6.3 Organisations were invited to apply for two or four year funding. However, the decision regarding the funding term would be determined by the Council, based on:

Two year funding:

- Where longer term priorities for the service area are not clear – or where it is known that need or demand is likely to change during the funding term
- For piloting innovative services
- Where wider interdependencies will impact the service area in the near future

Four year funding:

- Where longer term priorities are more certain
- Where a service is already proven and recognised as achieving the desired outcomes
- Where wider interdependencies support a longer term funding approach

6.4 The assessments were carried out by an assessment team for each service area. Each Assessment Team comprised three sections:

- Community Investment Team, who chaired and co-ordinated each assessment team
- Service Areas (lead officers and those from associated areas)
- Challenge officer/s

6.5 The roles of the assessment team sections:

Community Liaison: Expertise on organisational robustness, generic service delivery, finances, monitoring and evaluation. Ensured where appropriate, different assessment teams discuss bids which have cross-over impacts.

Service Area: Expertise regarding the market, existing provision, the types of services more likely to achieve the desired outcomes etc.

Challenge: Overview assessment – checking that statements made are supported by accurate information and evidence and that any assumptions are reasonable.

6.5 Each Service Area section was led by the officer who had led the development of the service specification. Additional officers from across the Council and NHS H&F were invited to participate in either Service Area or Challenge sections of the four Assessment Teams.

The assessment team		
Section 1: Community Investment Team assessor 1 assessor 2 assessor 3 etc	Section 2: Service Area assessor 1 assessor 2 assessor 3 etc.	Section 3: Challenge assessor 1 assessor 2 assessor 3 etc.

6.6 The assessment process comprised three main stages:

6.7 **Stage One - Basic Eligibility Test:** All applications were subject to an initial assessment to ensure that they met the basic eligibility test. Applications were not progressed to stage two assessment if any of the following applied:

- The project did not fit within our funding policy.
- The applicant organisation was not eligible to apply for funding because: it was not a 'not for profit' organisation and/or it did not have a robust management committee and/or it did not demonstrate local residents' involvement in the organisation or in a local steering group and/or had not been active in the borough for twelve months.
- There had been a failure to meet any other requirements stated in the guidance.
- The organisation was unsuitable for funding because of poor financial health
- The organisation was ineligible for funding under Regulation 23 of the Public Contract Regulations 2006.
- The application was significantly incomplete, or more than 50% of the listed supporting documents were missing.

6.8 **Stage Two – Assessment team: desk based assessment:** Applications were assessed against two overarching criteria and six related judgement points. The two overarching criteria were:

- Whether the proposed project would be likely to achieve the service specification outcomes
- Whether the organisation could deliver the project well
- A rating was given against each of the 6 judgement points, and on the basis of this an overall rating was given for each of the two criteria. These ratings were: excellent; good; satisfactory; weak or unsatisfactory.
- If either or both of the two overarching criteria were graded "unsatisfactory", or if both of the overarching criteria were graded 'weak' the application was not progressed to a stage three assessment.

6.9 **Stage Three – Assessment Team:** The stage two assessment indicated whether any areas needed further clarification. If any section felt that further information was required before an applicant could be recommended for funding, applicants could be invited to submit further information – either in writing, or in person in the form of an interview. This was particularly the case where competition for funding was high and a number of applicants were proposing services to deliver a similar or overlapping range of outcomes.

6.10 The assessment team lead officers then met to deliberate the assessments of each applicant and to assess fundable applications "in the round" in order to establish a proposed cluster of services to be recommended. Service area leads

then established the first draft of funding levels to be recommended under their service area, through consultation with senior officers in their departments.

- 6.11 A 3SIF Board, made up of Assistant Directors from the service areas involved, then agreed the draft Cabinet report and funding to be recommended. In awarding funding, the Council is obliged to follow a fair and transparent process. Officers are of the view that it has been carried out in a fair and transparent manner, namely by applying the same set of requirements and procedures to all applicants and using the results of least three independent assessments of each application as the basis of all recommendations made.

7. APPLICATIONS RECEIVED

- 7.1 By the closing deadline of 5pm, Monday 30 November, eighty nine applications from sixty eight organisations had been received in accordance with the requirements set out in the application form and Guidance Notes.

Table 2

Service area	indicative budget*	no. of applications	value of applications*
Economic Wellbeing & Opportunity	£870k	21	£2.4m
Health & Wellbeing (older people)	£518k	13	£931k
Children, Young People & Families	£740k	34	£1.9m
Infrastructure	£464k	21	£1.3m
total	£2,592k	89	£6,531,000

*for year 1

- 7.2 Four organisations did not submit their hard copy application by the deadline, and one organisation did not submit its electronic application by the deadline. In line with procurement practice across the Council, all late applications were rejected. Given that organisations had over nine weeks to complete their application, there was no sound argument to grant a deadline extension to any group that missed the deadline.

8. RECOMMENDATIONS – GUIDING PRINCIPLES

- 8.1 Given the likely economic climate and the future of public service funding, officers recommend that the 3rd Sector Investment Fund delivers efficiencies alongside all Council budgets, and that an overall reduction of 16% in the 3rd Sector Investment Fund is achieved over the first three years of funding (commencing 2010-11).
- 8.2 Officers are recommending a lower level of funding than organisations have requested. In the majority of cases, organisations will receive a reduced level of funding year on year to reflect the financial position the Council is anticipating from 2011-12 onwards.
- 8.3 Inflationary uplift will not be available to funded organisations in 2010-11 and 2011-12. After that term, availability of uplift is dependent on the Council's

financial position. If uplift is available, organisations will be required to present the business case for any increase in their funding level.

- 8.4 Funded organisations will be expected, as is the Council, to seek different ways of working and more effective systems that enable efficiencies to be achieved with minimal impact on front line services. Back-office costs such as stationery, publicity, marketing, rents etc, will not be eligible for inflationary uplift, as organisations will be expected to follow the Council's example of seeking to reduce organisational overheads and back-office costs during the funding term.
- 8.5 Funding recommendations are detailed in appendices 2a-d and summarised below under each service area. A full list of all applicants is detailed in appendix 3. All applications recommended for funding are likely to contribute in a variety of ways to the economic, environmental and social well being of the borough and support the Community Strategy.
- 8.6 Officers recommend that where there is a lack of applications (or insufficient good quality applications) to deliver particular outcomes in service areas, that delegated authority is awarded to the appropriate Director to retender or reallocate the remainder of the budget. This can include:
- a. Retendering a discrete service in order to achieve specific outcomes as detailed in the service specification.
 - b. Using the budget to replace other funding streams for existing 3rd sector providers, where the service the organisation provides will deliver the specification outcomes. Responsibility for budget and monitoring of these services will remain with the Community Investment Team.

9. RECOMMENDATIONS: INFRASTRUCTURE SERVICES (BUDGET £464K)

- 9.1 It is considered vital for the development and increased capacity of the sector that a number of key strategic functions are provided:
- a Fundraising support
 - b Organisational capacity building
 - c Financial management support
 - d Support to encourage and facilitate volunteering
 - e Social enterprise support and development
 - f Premises support
 - g Support to strengthen the voice of disadvantaged communities
- 9.2 Six organisations are recommended for funding, and it is anticipated that these organisations will deliver functions a-d from the list above.
- 9.3 As with all service areas, the high demand for funding and the focus on achieving a portfolio of complementary services means that services are recommended for funding at lower levels than requested and officers will need to agree with relevant revised targets and business plans with successful applicants.

- 9.3 In year 1, £380,000 of the budget is recommended to organisations to deliver the functions a-d above.
- 9.4 It is recommended that the remaining budget of £84,000 is allocated, as set out in para. 8.6 above, to realise the delivery of the remaining three functions.
- 9.5 A four year term of funding is recommended overall (October 2010 until September 2014) but with some services funded for a shorter term.
- 9.6 A summary of the projects recommended for funding is detailed in Appendix 2a. A summary of the assessment of each organisation which bid under this service area is detailed in Appendix 4a.

10. RECOMMENDATIONS: CHILDREN, YOUNG PEOPLE & FAMILIES (BUDGET: £740K)

- 10.1 This service area received the highest number of funding applications. Children's Services sub-categorised the applications based on current service mapping:
- Early Years
 - 5-13s
 - Youth
 - Family Support
 - BME, disability or other specialist support
 - Therapeutic
- 10.2 A complementary cluster of services was sought – which would fit well with existing provision and enhance the overall offer to Hammersmith & Fulham families.
- 10.3 A good number of high quality applications were assessed and in light of the high demand for funding and strong competition, assessing officers focused on achieving a portfolio of complementary services across the spectrum of categories detailed above. As with other service areas, this means that services are recommended for funding at lower levels than requested and officers will need to agree revised targets and business plans with successful applicants.
- 10.4 Fifteen services are recommended for funding, all for a two year term (October 2010 until September 2012). In year 1, £578,000 of the budget would be allocated, with the balance of the budget to be allocated as set out in para. 8.6 above.
- 10.5 A summary of the projects recommended for funding is detailed in Appendix 2b. A summary of the assessment of each organisation which bid under this service area is detailed in Appendix 4b.

11. RECOMMENDATIONS: ECONOMIC WELLBEING & OPPORTUNITY (BUDGET: £870K)

- 11.1 The specification sought to integrate services helping residents to secure employment and skills training with social welfare legal advice services in an effort to improve responses to tackling worklessness. In addition, greater emphasis was placed on increasing financial capability and debt management support.
- 11.2 This was a highly oversubscribed service area which reflected the vast 3rd sector interest in service provision in this area and the current recession's impact on communities. A good number of high quality applications were assessed, and in light of the high demand for funding and strong competition officers focused on achieving a portfolio of complementary services. This meant that some services are recommended for funding at lower levels than requested and officers will need to agree with relevant applicant organisations revised targets and business plans.
- 11.3 Six services are recommended for funding, all for a two year term (October 10 until September 12), and the full budget for this service area is recommended for allocation.
- 11.4 The proposed investments in the six organisations would offer:
- Comprehensive generalist legal advice from a single agency across the borough, with additional specialist advice from other providers.
 - A smaller number, but better resourced, range of employment support services, that are likely to have greater impact on the employment prospects of residents.
- 11.5 An addendum to the service specification set out the Council's wish to outsource its in-house legal advice service: SBAC Shepherds Bush Advice Centre based in Advice & Employment Shepherds Bush. However, no bid satisfactorily met the addendum criteria and officers propose to refresh this service alongside a review of other in-house services; Employment Ladder and the Work Zone. The SBAC budget therefore does not form part of the 3rd Sector Investment Fund.
- 11.6 The 3rd Sector Investment Fund currently funds a number of ongoing activities which deliver Business Partnerships & Enterprise objectives, to which the Council continues to be committed. It is recommended that this funding continues, with a review of this committed spend undertaken within the next 12 months, with the business case presented to the Cabinet Member and relevant Director for continuation of this investment.
- 11.7 A summary of the projects recommended for funding is detailed in Appendix 2c. A summary of the assessment of each organisation which bid under this service area is detailed in Appendix 4c.

12. RECOMMENDATIONS: HEALTH & WELLBEING (OLDER PEOPLE) (BUDGET £518K)

- 12.1 Thirteen applications were received for this service area, proposing a range of services including befriending, lunch clubs, practical support and targeted support to vulnerable communities. The Council is seeking to achieve a broad range of interventions that support and promote older people's wellbeing and independence.
- 12.3 As part of Home Care & Housing Related Support commissioning, the specification also set out two specific functions that were sought - "Watching Brief" and "Connecting Communities" services.
- 12.4 No single specific applications were received to deliver these two services; however, elements of both services appear in a number of applications and it is likely the outcomes sought for these 2 will be achieved.
- 12.5 This report recommends that nine organisations are offered funding, with the entire budget for this service recommended for allocation.
- 12.6 It is proposed that all recommended services are offered funding for a three year term (October 10 until September 13), at which point this service area will be combined with the Health & Wellbeing (adults) service area, and tendered jointly.
- 12.7 A summary of projects recommended for funding is detailed in Appendix 2d. A summary of the assessments of each organisation which bid under this service area is detailed in Appendix 4d.

13. EQUALITIES IMPACT ASSESSMENT

- 13.1 An Equalities Impact Assessment was conducted individually for each service area, and one overarching EqIA.
- 13.2 Statutory Equality Duties are as follows:
Race Relations Act 1976 s 71(1) – Specified authorities: general statutory duty. Every body or other person specified in Schedule 1A or of a description falling within that Schedule shall, in carrying out its functions, have due regard to the need:
(a) to eliminate unlawful racial discrimination; and
(b) to promote equality of opportunity and good relations between persons of different racial groups.
- Sex Discrimination Act 1975 s 76A(1) – Public authorities: general statutory duty. A public authority shall in carrying out its functions have due regard to the need:
(a) to eliminate unlawful discrimination and harassment, and
(b) to promote equality of opportunity between men and women.

Disability Discrimination Act 1995 s 49A(1) – General duty. Every public authority shall in carrying out its functions have due regard to:

- (a) the need to eliminate discrimination that is unlawful under this Act;
- (b) the need to eliminate harassment of disabled persons that is related to their disabilities;
- (c) the need to promote equality of opportunity between disabled persons and other persons;
- (d) the need to take steps to take account of disabled persons' disabilities, even where that involves treating disabled persons more favourably than other persons;
- (e) the need to promote positive attitudes towards disabled persons; and
- (f) the need to encourage participation by disabled persons in public life

13.3 Although the Council's duty is to consider Race, Gender and Disability as part of an equalities impact assessment, officers gave broader consideration to socio-economic factors of the services recommended for funding, to ensure the best possible provision of services to local residents.

13.4 As part of the application form, organisations were required to profile who their anticipated service users would be. Information was requested regarding users':

- Ethnicity
- Age
- Gender
- Sexual orientation
- Disability (physical, mental, sensory impairment, learning difficulty, long term health condition, none)
- Faith
- Location (by ward)
- Other factors such as: single household; low income, single parent family; carers; substance misuse; homeless; work but do not live in the borough etc.

13.5 Officers compared the user profiles across Race, Gender and Disability for all applicants, both recommended and not recommended, to identify if any particular impact would result from the range of services recommended. Officers also considered the impact of cessation of services, currently funded under the investment fund, that are not recommended for funding in this report, or did not apply for funding.

13.6 The broader, socio-economic categories of user profiles for recommended applications were then compared to ascertain if any particular sections of residents would be adversely affected, or not identified as potential beneficiaries.

13.7 It was not felt that any section of the community would be particularly disadvantaged should the recommendations in this report be agreed, and all sections specified under the equalities duties are expected to benefit to some extent. A good span of beneficiaries is covered by the clusters of services being tendered, with profiles of target beneficiaries reflecting the known diversity factors of the borough's population. Appendices 9 – 9d detail the expected impact, positive as well as negative, for all funding recommendations made.

- 13.8 Race: The profile of potential beneficiaries of services across Infrastructure, Children, Young People & Families and Economic Wellbeing broadly matches the borough profile. No negative impact has been identified.
- Under the older people's Health & Wellbeing specification, four services which will target particular communities are recommended for funding, three of which will deliver services to the borough's three main BME communities.
 - In considering all services being recommended under this specification, no adverse impact has been identified for any particular BME community.
- 13.9 Disability: applications for specific services to disabled people were received under the Economic Wellbeing & Opportunity specification. One was re-categorised as better meeting the Children, Young People & Families specification. Although these services are not recommended for funding – the cluster of services that are recommended also include disabled people as target beneficiaries.
- The recommendations for services to be funded under Economic Wellbeing & Opportunity will result in an existing welfare benefits service and employment services for disabled people being no longer funded by the Council, although the organisation is also funded from other sources to provide welfare benefits advice. It is likely that disabled people may experience a negative impact, as there will no longer be the same level of employment and welfare benefits advice provided exclusively to disabled residents.
 - Employment services aimed at people with mental health needs: no bids were received for targeted employment services for people with mental health needs. This includes the currently funded service that provides employment support services to this target group. It is likely that people with mental health needs will be adversely impacted when funding for this service is no longer provided by the Council from September 2010.
- 13.10 Gender All recommended services are likely to provide appropriate levels of support to male and female beneficiaries which is reflective of the borough profile. It is anticipated that a number of services will appropriately have a higher take up by gender (e.g. domestic abuse services).
- 13.11 All successful organisations will be expected to meet targets regarding delivering services to targeted communities, and closely monitored to ensure these targets are met. Organisations will be required to address issues of BME, disabled or particular communities not accessing the services provided.
- 13.12 It is considered good practice to invite external input into an Equalities Impact Assessment, particularly in relation to a decision which has the potential to result in a significant shift in local services and funding. To ensure some external input into the Equalities Impact Assessment, colleagues from the London Equalities Officers Network were invited to comment on the EqIA, and to comment on the robustness of the EqIA findings. The Chair of the Network has reviewed the report and the equalities impact assessment and concluded that the impact assessment is sufficiently robust, and that negative and positive impacts have been appropriately identified.

14. COMMENTS OF THE DIRECTOR OF FINANCE AND CORPORATE SERVICES

- 14.1 The 3rd sector investment budget for 2010/11 is £4,401,699.
- 14.2 Grant allocations from the previous Cabinet report dated September 2009 allocated £2,699,686 and this award report recommends further allocations for 2010/11 of £1,296,000. A further £247,280 will be made in small grants in year. The total allocation is £4,202,966.
- 14.3 The difference between budget and grant allocations is £158,733, which will contribute towards efficiency savings. Further indicative savings on expenditure are planned for 2011/12 and 2012/13 of £284,772 and £257,481 respectively as detailed in Appendix 1 of the report. Total indicative efficiency savings are £700,791 (or 16% of budget). These indicative savings will be incorporated into the departmental and corporate MTFs process for approval.
- 14.4 Indicative funding allocations for 2011/12 to 2013/14 are summarised in appendix 1. These are currently with anticipated budgets (reduction of 16%). These will be subject to the Council annual budget setting procedures.
- 14.5 All contracts will stipulate that services are contracted for as long as the funding is available. Should funding be not available during the lifetime of the contract, a notice period will be given to the organisation that funding will cease.

15. COMMENTS OF THE ASSISTANT DIRECTOR (LEGAL AND DEMOCRATIC SERVICES)

- 15.1 The Council's power to award the funding recommended in the report is contained in s.2 of the Local Government Act 2000 which allows the Council to do anything, including the provision of financial assistance, which it considers likely to promote the economic, environmental or social well being of the area. In exercising this power, Cabinet must have regard to the Community Strategy.
- 15.2 Officers are of the view that the organisations recommended for funding are likely to contribute in a variety of ways to the economic, environmental and social well being of the borough and that the recommended funding is consistent with the Community Strategy.
- 15.3 Cabinet is also required to consider the general equality duties to have due regard to the need to eliminate unlawful discrimination and to promote equality of opportunity and good relations between the protected groups and society at large. These duties are dealt with in detail at paragraph 13 above and in the attached equalities impact assessments and should be carefully considered.
- 15.4 In awarding funding the Council is obliged to follow a fair and transparent process. The process followed is set out in the body of the report and officers are of the view that it has been carried out in a fair and transparent manner.

LOCAL GOVERNMENT ACT 2000
LIST OF BACKGROUND PAPERS

No.	Description of Background Papers	Name/Ext of holder of file/copy	Department/ Location
1.	3 rd Sector Strategy	Sue Spiller ext 2483	CSD, Glenthorne Rd
2.	3SIF applications	Sue Spiller ext 2483	CSD, Glenthorne Rd
3.	3SIF application assessments	Sue Spiller ext 2483	CSD, Glenthorne Rd
4.	3SIF Equalities Impact Assessment	Sue Spiller ext 2483	CSD, Glenthorne Road

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Appendices:

1:	3SIF budget
2a:	Recommendations: Infrastructure services
2b:	Recommendations: Children, Young People & Families
2c:	Recommendations: Economic Wellbeing & Opportunity
2d:	Recommendations: Health & Wellbeing (older people)
3:	All applicants and recommendations
4a:	Assessments summary: Infrastructure
4b:	Assessments summary: Children, Young People & Families
4c:	Assessments summary: Economic Wellbeing & Opportunity
4d:	Assessments summary: Health & Wellbeing (older people)
5a:	Infrastructure service specification
5b:	Children, Young People & Families service specification
5c:	Economic Wellbeing & Opportunity service specification
5d:	Health & Wellbeing (older people) service specification
6:	3SIF application form
7:	3SIF Guidance Notes
8:	3SIF FAQ
9:	Equalities Impact Assessment
9a:	Equalities Impact Assessment: Infrastructure
9b:	Equalities Impact Assessment: Children, Young People & Families
9c:	Equalities Impact Assessment: Economic Wellbeing & Opportunity
9d:	Equalities Impact Assessment: Health & Wellbeing (older people)